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Baer semisimple modules and Baer rings Xiaojiang Guo and K. P. Shum

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ABSTRACT. We consider Baer rings and Baer semisimple R-modules which are generalizations of semisimple modules. Several characterization theorems of Baer semisimple modules are obtained. In particular, we prove that a ring R is a Baer ring if and only if R itself, regarded as a regular R-module, is Baer semisimple.

Throughout this paper, R is an associative ring with identity 1 and all R-modules are unital. Denote the set of idempotents of R by E(R). Let M be a left R-module and a right S-module. Also, let X be a subset of M, R or S, respectively. Then we denote the left [resp. right] annihilator of X by $ann_{\ell}(X)$ [resp. $ann_r(X)$]. We also write $ann_{\ell}(\{m\})$ [resp. $ann_r(\{m\})$] by $ann_{\ell}(m)$ [resp. $ann_r(m)$].

We call a ring R a *Baer ring* if the left annihilator of any subset of R is generated by an idempotent. The properties of Baer rings and its generalizations have been studied by many authors, for example, see ([3], [4], [11] and [13]). We observe that Baer rings can be generalized into other forms, for example, rpp rings, etc. The rpp-rings and their generalizations have been extensively studied in the literature after Hattori (see, [2]-[15]). Recently, the authors have introduced the concept of right perpetual ideals and consequently, reduced pp rings are characterized by using right perpetual submodules (see [8]).

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Recall that a right ideal I of R is a right perpetual ideal of R if for every $x \in I$ and $y \in R$, $ann_{\ell}(x) \subseteq ann_{\ell}(y)$ implies that $y \in I$ (see [8]). Clearly, for any $X \subseteq R$, there exists the smallest right perpetual ideal of R containing X. We usually call this smallest right perpetual ideal of Rcontaining X the right perpetual ideal generated by X and is denoted by $R^*(X)$. If $X = \{a\}$, then we write $R^*(X) = R^*(a)$.

The following results are known.

Lemma 1. [8] The following statements hold in a ring R:

(1) If $e \in E(R)$, then $R^*(e) = eR$.

(2) For all $X \subseteq R$, $ann_r(X)$ is a right perpetual ideal of R.

(3) A ring R is lpp if and only if for any $a \in R$, $R^*(a)$ is generated by an idempotent.

Let M be a right R-module. Denote the ring of R-endomorphisms of M by $End(M_R)$. If $End(M_R)$ is regarded as a set of left operations, in notation, $End_{\ell}(M_R)$, then M can be regarded as a left $End_{\ell}(M_R)$ - right R-module. Inspiring by the definition of right perpetual ideals, we now define the perpetual submodules.

Definition 1. Let M be a right R-module. Then, we call a (right R-)submodule N of M a perpetual submodule of M if for all $x \in N$ and $y \in M$, $ann_{\ell}(x) \subseteq ann_{\ell}(y)$ implies $y \in N$.

It is clear that M and (0) are both trivial perpetual submodules of M. Also, the intersection of perpetual submodules of M is still a perpetual submodule of M and hence, there exists the smallest perpetual submodule of M containing X for $X \subseteq M$. Denote the smallest perpetual submodule of M containing X by $SM^*(X)$. On the other hand, if R is regarded as a regular right R-module R_R , then the left $End(R_R)$ -right R-module R becomes a regular bimodule $_{End(R_R)}R_R$. Thus in this case, every perpetual submodule of R is a right perpetual ideal of R (same as in rings).

The following lemma can be easily proved.

Lemma 2. Let M be a right R-module and $X \subseteq End_{\ell}(M_R)$. Then

- (1) $ann_r(X)$ is a perpetual submodule of M.
- (2) If $\varphi^2 = \varphi \in End_{\ell}(M_R)$, then φM is a perpetual submodule of M.

The proof of the following lemma is straightforward.

Lemma 3. Let M be a right R-module and $x \in M$. Then $SM^*(x) = ann_r(ann_\ell(x))$.

The following result lemma is crucial in this paper but the proof can be found in [1].

Lemma 4. [1] A R-submodule K of the right R-module M is a direct summand of M if and only if K = eM for some idempotent $e \in End_{\ell}(M_R)$.

Now, we formulate the following definition.

Definition 2. Let M be a right R-module. Then

(1) M is called a **Baer simple** R-module if $M \neq 0$, and M contains no perpetual submodules of M other than M itself and (0).

(2) M is called a **Baer semismiple** R-module if every perpetual submodule of M is a direct summand of M.

Evidently, a Baer simple *R*-module is itself Baer semisimple and the usual semisimple *R*-module is also Baer semisimple. Indeed, if *M* is a semisimple *R*-module, then every *R*-submodule *N* of *M* is a direct summand of *M*. By Lemma 4, N = eM, for some $e^2 = e \in End_{\ell}(M_R)$. This implies that every *R*-submodule of *M* is a perpetual submodule of *M*. Thus *M* is Baer semisimple.

Proposition 1. Let M be a Baer semisimple R-module and N a perpetual submodule of M. Then the following statements hold:

- (i) N = eM for some idempotent $e \in End_{\ell}(M_R)$.
- (ii) N is Baer semisimple.

Proof. (i) By our hypothesis, M is Baer semisimple and hence, N is a direct summand of M. Now, by Lemma 4, N = eM, for some idempotent $e \in End_{\ell}(M_R)$.

(*ii*) It suffices to verify that any perpetual submodule of N is still a perpetual submodule of M. In other words, we only need to prove that the smallest perpetual submodule $SM_M^*(x)$ of M containing x is the smallest perpetual submodule $SM_M^*(x)$ of N containing x, for all $x \in N$. By Lemma 5, we have N = eM, for some idempotent $e \in End_{\ell}(M_R)$. Denote the left annihilator of K related to the R-module M and related to the R-module N by $ann_{\ell}^M(K)$ and $ann_{\ell}^N(K)$, respectively. Now, by Lemma 3, $SM_M^*(x) \subseteq N$. Let f be an idempotent endomorphism in $End_{\ell}(M_R)$ such that $SM_M^*(x) = fM$. Then, $fM \subseteq eM$. Thus, for any $x \in M$, we have

$$fx = ey = eey = efx \quad (y \in M),$$

and thereby, f = ef. Hence, fe is an idempotent endomorphism in $End_{\ell}(M_R)$ and also

$$fM = ffM \subseteq fefM \subseteq feM \subseteq fM,$$

that is, fM = feM. On the other hand, since the restriction $fe|_{eM}$ of fe(=efe) to eM is an idempotent *R*-endomorphism which maps eM

into itself, we have fM = feM = fe(eM) and hence, by Lemma 2, fM is a perpetual submodule of N. Now, by the minimality of $SM_N^*(x)$, we have $SM_N^*(x) \subseteq SM_M^*(x)$.

Now let $\varphi \in ann_{\ell}^{N}(x)$. Then, it can be easily observed that $M = eM \oplus (1-e)M$. Hence, we can define a mapping

$$\overline{\varphi}: M \to M; \quad y \mapsto \varphi(ey),$$

which is a *R*-homomorphism of *M* into itself with $\overline{\varphi}|_N = \varphi$. Clearly, $\overline{\varphi} \in ann_{\ell}^M(x)$. If $y \in SM_M^*(x)$, then by Lemma 3, $\psi(y) = 0$ for all $\psi \in ann_{\ell}^M(x)$, and furthermore, $\overline{\varphi}y = 0$, for all $\varphi \in ann_{\ell}^N(x)$. Note that $SM_M^*(x) \subseteq N$ and $\overline{\varphi}|_N = \varphi$. Thus $\overline{\varphi}y = 0$ implies that $\varphi = 0$. This shows that $ann_{\ell}^N(x) \subseteq ann_{\ell}^N(y)$. Consequently, we can deduce $y \in SM_N^*(x)$, by Lemma 3. This leads to $SM_M^*(x) \subseteq SM_N^*(x)$. Thus, $SM_N^*(x) = SM_M^*(x)$, as required. \Box

The following is a characterization theorem for the Baer simple R-modules.

Theorem 1. Let M be a Baer semisimple R-module and N a perpetual R-submodule of M. Then N is Baer simple R-module if and only if N = eM, for some primitive idempotent $e \in End_{\ell}(M_R)$.

Proof. Suppose that N is a Baer simple R-submodule of M. Then, by Lemma 4, N = eM for some idempotent $e \in End_{\ell}(M_R)$. Now let $f^2 = f \in End_{\ell}(M_R)$ such that $f \leq e$, i.e., f = ef = fe. Then $fM \subseteq eM$. Since N is Baer simple, fM = (0) or fM = eM.

- If fM = (0), then f = 0.
- If fM = eM, then for all $x \in M$,

$$e(x) = f(y) = ff(y) = fe(x) = f(x) \quad (y \in M),$$

and whence e = f.

This shows that e is a primitive idempotent of $End_{\ell}(M_R)$. Conversely, we assume that N = eM, where e is a primitive idempotent of $End_{\ell}(M_R)$. Then N is a perpetual submodule of M. Let K be a perpetual submodule of N. Now, by using the proof of Proposition 1, we can show that K is still a perpetual submodule of M, and by Lemma 4, K = fM for some idempotent $f \in End_{\ell}(M_R)$. Now, $fM \subseteq eM$ implies that for all $x \in M$, we have

$$f(x) = e(y) = ee(y) = ef(x) \quad (y \in M),$$

and thereby, f = ef. By routine verification, fe is an idempotent of $End_{\ell}(M_R)$, and $fe \leq e$. But since e is primitive, fe = e or fe = 0.

• If fe = e, then

 $fM \subseteq eM = feM \subseteq fM,$

that is, K = N.

• If fe = 0, then

$$K = fM = f(fM) \subseteq f(eM) = (0).$$

This shows that the submodule N is indeed Baer simple.

We next establish a "Schur Lemma " for Baer simple modules.

Theorem 2. (Schur Lemma) If M is a Baer simple R-module, then $End_{\ell}(M_R)$ is a domain (such a ring satisfies the cancellative law).

Proof. It suffices to show that any $\varphi \in End_{\ell}(M_R) \setminus \{0\}$ is injective. For this purpose, we only need to prove that $ann_r(\varphi) = (0)$. By Lemma 2, $ann_r(\varphi)$ is a perpetual submodule of M and, since M is Baer simple, $ann_r(\varphi) = M$ or $ann_r(\varphi) = (0)$. But since $\varphi \neq 0$, it is clear that $ann_r(\varphi) \neq M$. Thus $ann_r(\varphi) = (0)$ and hence φ is injective. \Box

Lemma 5. Any nonzero Baer semisimple R-module M contains a Baer simple R-module.

Proof. Without loss of generality, we may assume that M is not a Baer simple R-module. Then we can pick a nonzero element x of M such that $SM^*(x) \subset M$. By Lemma 4, $SM^*(x) = eM$ for some idempotent endomorphism $e \in End_{\ell}(M_R)$. By Lemma 1, K = (1-e)M is a perpetual submodule of M not containing x. Now, by Zorn's lemma, there exists a perpetual submodule N of M which is maximal with respect to the property that $x \notin N$. Choose a perpetual submodule N' of M such that $M = N \oplus N'$ (by Lemma 4). Then, we can finish our proof by showing that N' is Baer simple. Indeed, if N'' is a nonzero perpetual submodule of N', then by Proposition 1, N' is Baer semisimple and $N' = N'' \oplus N'''$, where N'' is a submodule of N'. Thus $N \oplus N''$ is a direct summand of M. Again by Lemma 4, $N \oplus N'' = fM$ for some idempotent $f \in End_{\ell}(M_R)$ and by Lemma 2, $N \oplus N''$ is a perpetual submodule of M containing x (by the maximality of N) and $N \oplus N'' = M$, which implies that N'' = N', as desired.

Proposition 2. A Baer semisimple module is the direct sum of a family of Baer simple submodules.

Proof. Assume that M is a Baer semisimple module. Denote by A the set of Baer simple submodules of M. Then, we consider the subset $B \subset A$ with the following conditions:

- $\sum_{J \in B} J$ is a direct sum.
- $\sum_{J \in B} J$ is a perpetual submodule of M.

By Lemma 5, $A \neq \emptyset$. Now, by Zorn's lemma, we can consider the family of all the above B's with respect to the set inclusion. Thus we can pick a B to be the maximal element. For such a B, we can construct a perpetual submodule $M_1 := \bigoplus_{J \in B} J$. Now, by our hypothesis, $M = M_1 \oplus M_2$, where M_2 is a submodule of M. By Lemma 4, M_2 is a perpetual submodule of M and by Proposition 1, M_2 is a Baer semisimple, and hence by Lemma 5 again, $M_2 = K \oplus Q$, where K is a Baer simple submodule of M_2 and Q a submodule of M_2 . Thus $M_1 \oplus K$ is a direct summand of M and of course, $M_1 \oplus K$ is a perpetual submodule of M, by Lemma 4. On the other hand, by using the proof of Proposition 1(ii), we can show that Kis Baer simple in M. This contradicts the maximality of B. Therefore $M = M_1 = \bigoplus_{J \in B} J$.

Theorem 3. Let M be a R-module and P the set of submodules of the form eM, with $e \in E(End_{\ell}(M))$. Order the set P by set inclusion. Then the following statements are equivalent:

- (i) M is Baer semisimple.
- (*ii*) The following two conditions hold:
- (a) For any $x \in M$, $SM^*(x)$ is a direct summand of M.
- (b) P forms a complete lattice.

Proof. $(i) \Rightarrow (ii)$ Since condition (a) holds trivially, we need only to show that condition (b) holds. Let $T \subseteq P$. Since every element of P is a perpetual submodule of M, $\bigcap_{J \in T} J$ is a perpetual submodule of M. By our hypothesis, $\bigcap_{J \in T} J$ is a direct summand of M. By Lemma 4, we have $\bigcap_{J \in T} J \in P$. Consider the smallest perpetual submodule K of M containing J with $J \in T$. It is clear that K is a direct summand of M, and whence $K \in P$. Thus K can be viewed as $sup_{J \in T}(J)$. Thus, Pindeed forms a complete lattice.

 $(ii) \Rightarrow (i)$ Assume that (ii) holds. Let I be a perpetual submodule of M. Consider $I = \bigcup_{x \in I} SM^*(x)$. Then by condition (a), $I = \bigcup_{x \in I} e_x M$, where e_x is the idempotent of $End_\ell(M)$ such that $SM^*(x) = e_x M$, for any $x \in I$. By condition (b), I = eM for some $e \in E(End_\ell(M))$, that is, I is a direct summand of M. Consequently, M is a Baer semisimple module.

Recall that [14, Lemma 2.3] a ring R is Baer if and only if R is lpp and under set inclusion, the set of all idempotent-generated principal right ideals forms a complete lattice. By using Lemma 1 and Theorem 3, we deduce the following characterization theorem of Baer rings.

Theorem 4. A ring R is a Baer ring if and only if R itself, regarded as a regular R-module, is a Baer semisimple module.

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